

(1390 REV. 5-93) U.S. DEPT. OF COMMERCE, PATENT &amp; TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER  
11061

**TRANSMITTAL LETTER TO THE  
UNITED STATES  
DESIGNATED/ELECTED OFFICE  
(DO/EO/US) CONCERNING A FILING  
UNDER 35 U.S.C. 371**

U.S. APPLICATION NO.  
(if known, sec 37 C.F.R.1.5)  
09/937,082

INTERNATIONAL APPLICATION NO. PCT/JP01/00407	INTERNATIONAL FILING DATE January 23, 2001	PRIORITY DATE CLAIMED January 25, 2000
TITLE OF INVENTION GAME SYSTEM, PROGRAM AND IMAGE GENERATION METHOD		
APPLICANT(S) FOR DO/EO/US Katsuhiro ISHII		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4.  A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US)
6.  A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  have been transmitted by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.  A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A **FIRST** preliminary amendment.
  - A **SECOND** or **SUBSEQUENT** preliminary amendment.
14.  A substitute specification.
15.  Entitlement to small entity status is hereby asserted.
16.  Other items or information:

RECEIVED  
DEC 10 2003  
TECHNOLOGY CENTER R3700

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 09/937,082

INTERNATIONAL APPLICATION NO.  
PCT/JP01/00407

ATTORNEY'S DOCKET NUMBER  
110671

17.  The following fees are submitted:

**Basic National fee (37 CFR 1.492(a)(1)-(5)):**

Search Report has been prepared by the EPO or JPO ....\$890.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) .....\$710.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) .....\$740.00

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....\$1,040.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) .....\$ 100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

Surcharge of \$130.00 for furnishing the oath or declaration later than

20  30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

Claims

Number Filed

Number Extra

Rate

Total Claims

- 20 =

X \$ 18.00

\$

Independent Claims

- 3 =

X \$ 84.00

\$

Multiple dependent claim(s)(if applicable)

+ \$280.00

\$

**TOTAL OF ABOVE CALCULATIONS =**

\$

Reduction by 1/2 for filing by small entity, if applicable.

-

\$

**SUBTOTAL =**

\$

Processing fee of \$130.00 for furnishing the English translation later than  20  30 month from the earliest claimed priority date (37 CFR 1.492(f)).

\$

+

**TOTAL NATIONAL FEE =**

\$

Amount to be  
refunded

\$

Charged

\$

- Check No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.
- Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 15-0461. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

OLIFF & BERRIDGE, PLC  
P.O. Box 19928  
Alexandria, Virginia 22320

  
NAME: James A. Oliff  
REGISTRATION NUMBER: 27,075

Date: June 26, 2002

NAME: Thomas J. Pardini  
REGISTRATION NUMBER: 30,411

PTO/PCT Rec'd 26 JUN 2002

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Katsuhiro ISHII

Application No.: 09/937,082

Filed: October 10, 2001

Docket No.: 110671

For: GAME SYSTEM, PROGRAM AND IMAGE GENERATION METHOD

INFORMATION DISCLOSURE STATEMENT

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- 2. The references were cited in a counterpart foreign application. An English language version of the foreign Office Action is attached for the Examiner's information.

Respectfully submitted,



James A. Oliff  
Registration No. 27,075

Thomas J. Pardini  
Registration No. 30,411

JAO:TJP/mlb  
Date: June 26, 2002

OLIFF & BERRIDGE, PLC  
P.O. Box 19928  
Alexandria, Virginia 22320  
Telephone: (703) 836-6400

<p>DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461</p>
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Form PTO-1449 (REV. 8-83)		US Dept. of Commerce PATENT & TRADEMARK OFFICE	ATTY DOCKET NO. 110671	APPLICATION NO. 09/937,082		
INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)		APPLICANT Katsuhiro ISHII				
		FILING DATE October 10, 2001				
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS
		JP A 7-93586	04/07/1995	Japan		
		JP A 11-39502	02/12/1999	Japan		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)						
		Yamamoto, Tetsufumi, "Sugunitsukaeru Direct X7. 0 no Shinkinou.", Nikkei Software, Japan: Nikkei BP, 1999, Vol. 3, No. 1, pp. 125-131				
		Mori, Kenichi, "Real-time 3D CG Hardware no Gijutsudoukou.", Denshijouhoutsushingakkaikenkyuhoukoku, Japan: Shadanhoujin Denshijouhoutsushingakkai, 1999, Vol. 99, No. 400, pp. 43-50				
EXAMINER					DATE CONSIDERED	
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						